1Improved tree-ring archives will support earth-system 2science

3Flurin Babst^{1,2,*}, Benjamin Poulter³, Paul Bodesheim⁴, Miguel Mahecha^{4,5}& David C.

4Frank^{1,6}

5¹Landscape Dynamics Unit, Swiss Federal Research Institute WSL, Zürcherstrasse

6111, CH-8903 Birmensdorf, Switzerland

7²W. Szafer Institute of Botany, Polish Academy of Sciences, ul. Lubicz 46, 31-512

8Krakow, Poland

9³Department of Ecology, Montana State University, Bozeman, USA

10⁴Max Planck Institute for Biogeochemistry, 07745 Jena, Germany

11⁵German Centre for Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig, 1204103 Leipzig, Germany

13⁶Oeschger Center for Climate Change, Zähringerstrasse 25, CH-3012 Bern, 14Switzerland

15

16*Corresponding author:

17Flurin Babst (email: flurin.babst@wsl.ch; phone: +41791536621)

18

19Abstract

20Macroecological studies increasingly benefit from global tree-ring datasets to 21complement and contextualize shorter-term observational and modeling investigations 22of forest ecosystems. Yet, considerable community efforts are needed to enhance and 23sustain the aptitude of this resource for the next decades. We overview the largest 24public tree-ring archive and advocate for improved i) spatiotemporal coverage of 25forest biomes, ii) coordination with recent *in-situ* networks, and iii) consideration of 26broader research needs.

27Main text

28Most tree species in seasonal forest ecosystems undergo a regular dormancy that 29 results in the demarcation of annual growth increments. Such tree-rings have been 30used to derive millennial-length records of forest growth variability and are 31 highlighted in the past several IPCC reports as an important resource to reconstruct 32pre-instrumental climate. Yet, in light of Earth's reasonably assured climate 33trajectory, research focus is shifting from pure quantification of climate change 34towards the understanding of its impacts on ecosystems. Exploring the key question 35of how forests will respond to unprecedented environmental conditions, extensive 36 compilations of tree-ring data have recently been used to study climate impacts on 37annual forest growth¹, track biome shifts², assess legacies of climate extremes³, 38quantify tree physiological responses to climate⁴, and benchmark mechanistic 39models⁵. Owing to public archives such as the International Tree-Ring Data Bank 40(ITRDB; US National Oceanic and Atmospheric Administration), it is increasingly 41 feasible to tackle these topics along large environmental gradients and at (sub-)annual 42resolution. Given the growing demand for extensive observational datasets, we find it 43prudent to briefly pause, take inventory of the ITRDB, and evaluate emergent 44challenges and opportunities for this archive to continue serving the research 45communities for the next decades.

46

47The ITRDB currently lists more than 850 contributors and contains tree-ring data 48from 4200 sites on six continents. The total ring width parameter is available at nearly 49all sites. Additional parameters (sites) include: earlywood and latewood width (614

50and 616), maximum wood density (581), and stable isotope measurements (24). The 51most common genera are *Pinus*, *Picea*, and *Quercus* that together represent 50% of 52the ITRDB. The number of available chronologies peak in the mid 20th century and 53plummets dramatically thereafter: 44% terminate prior to 1990, 77% before 2000, and 5498% before 2010. The negative consequences of this drop for palaeoclimatological 55applications and the need to regularly update existing chronologies have already been 56pointed out⁶. However, we emphasize the reduced geographic and climate space 57covered in the most recent decade (Fig 1b,c) that increasingly limits possibilities to 58integrate the global tree-ring network with newer but shorter-term observations.

59

60The reduced coverage of tree-ring data towards present particularly contrasts with 61spatially and temporally highly resolved data from satellite retrieved Earth 62observations (EO; Fig 1a). Large initiatives established by NASA's decadal survey, 63the Climate Change Initiative of the European Space Agency (ESA), and other 64programs have fostered technological and scientific progress to monitor vegetation 65dynamics since the early 1980s⁷. This EO boom is relatively recent in the context of 66long-term climate change and is expected to dramatically boost with the latest 67generation of satellites (*e.g.* the ESA Sentinels). However, most currently existing EO 68records are relatively short when the aim is to contextualize the full range of 69ecosystem variability. For instance, it is statistically very problematic to address 70infrequent extreme events at given locations. Provided sufficient spatiotemporal 71coverage can be achieved and maintained⁶, tree-ring records offer opportunities to 72contextualize EO data on such events by reflecting climate-induced tree growth 73anomalies over decades to centuries. Further opportunities emerge from comparisons 74between radial stem increment and canopy dynamics derived from EOs (Seftigen et

75al., under review) or other *in-situ* measurements related to forest growth, including 76phenocams, eddy-covariance, and forest inventory type data^{8,9}. Regrettably, tree-ring 77sampling is not standard in most national forest inventories (NFI) – a hitherto missed 78opportunity to develop continuous records of forest biomass increment at large scales. 79We expect advances into this direction will enhance the spatial coverage of tree-ring 80archives, support forest management decisions in a changing climate, and help 81refining quantifications of terrestrial carbon cycling.

82

83By integrating tree-rings with EOs and other *in-situ* networks across biomes, 84systematic coverage of forests in terms of climate zones, species composition, and 85 forest demography becomes a reachable goal. Yet, the ITRDB currently does not fully 86meet this ambition, despite the remarkable coverage of the climate space with 87MAT < 15 °C (Fig. 1b). Representativeness is likely reduced because site selection has 88 favored marginal growth environments to maximize the climatic signals preserved in 89tree-ring records – a bias that potentially affects conclusions drawn from large-scale 90studies. This bias is particularly problematic given that few EOs and gridded climate 91products resolve these very local conditions. Computational options to improve the 92spatial coverage of tree-ring data include statistical upscaling with machine-learning 93techniques, whereby climate variables selected based on observed climate-growth 94relationships are used to hindcast radial tree growth in areas without existing records. 95Promising results have also been achieved with process-based modeling approaches to 96produce synthetic tree-ring records based on relatively simple and globally available 97input parameters¹⁰. Still, global tree-ring networks will never be able to keep up with 98EO acquisition in near real time and priorities regarding locations and parameters for 99future research efforts need to be set. We recommend that these priorities should:

100

1) Strengthen systematic coverage of forest biomes through targeted sampling 101 102 efforts and rapid contribution of new records to the ITRDB. We suspect that 103 more open data policies could already amend the presently unbalanced 104 geographic distribution of ITRDB sites (Fig 1c) and thereby increase the use 105 of tree-ring data for global environmental change research. Upcoming field 106 campaigns should increasingly consider the direction of predicted climate 107 change to augment the particularly modest data coverage in warmer regions 108 (Fig 1b). As this concerns many remote (sub-)tropical areas, intensified 109 international collaborations are required to overcome logistical barriers to 110 sampling these highly productive and rapidly diminishing forests. Collecting 111 tree-ring and biometric data should thereby be more feasible than *e.q.* 112 installing longer-term research sites that are equally sparse in the tropics¹¹. 113 Although a constraint on tropical dendrochronology is the unknown rhythm of growing and dormant seasons in many species, regular annual growth rings 114 115 have already been confirmed in 230 species¹².

116 2) Increase coordination with more recent observation networks such as 117 FLUXNET, NEON, ICOS, and NFIs. Adjusting tree-ring data collection to 118 meet broader needs will bring mutual benefits among research communities 119 but requires versatile sampling schemes. Furthermore, the sparse metadata 120 associated with sites on the ITRDB should be extended to include key 121 variables common to national forest inventories (e.g. stand density, tree 122 species, dimensions, and demography). Efforts are under way to facilitate and 123 standardize the collection and archiving of such information¹³. This will

increase site control, data compatibility, and possibilities to estimate broadlyrelevant parameters such as annual biomass increment.

126 3) Promote measurements of parameters beyond radial growth increment. 127 Continuous advances in wood anatomical, stable isotope, and image analysis 128 technologies allow for increasingly rapid processing of tree-ring samples at 129 sub-annual resolution. These emergent data streams complement information 130 obtained via radial growth and allow for refined comparisons with other 131 temporally highly resolved *in-situ* measurements. This widens possible 132 research avenues in ecophysiology, structure-function tradeoffs, and climate 133 reconstruction. Hence, we recommend that the list of parameters on the 134 ITRDB be extended to facilitate the dissemination of novel records.

135

136By 2099, 11.8% of the global land surface is projected to experience temperature 137conditions without historical analogue (CMIP5 climate model ensemble; greenhouse 138gas emission scenario RCP 8.5). This planetary warming, together with the 139unprecedented rise in atmospheric CO₂ concentration, nitrogen deposition, and 140disturbances adds uncertainty to projections of ecosystem states and changes. 141Mechanistic model structures have been identified as the primary source of 142uncertainty in this context¹⁴ that urgently need refinement to advance understanding of 143causalities and response thresholds in the earth system. These endeavors will greatly 144benefit from an integrated suite of empirical observations with proven abilities to 145combine with mechanistic models, including tree rings, EOs, and forest monitoring 146datasets^{3-5,7,10,15}. We expect that joint observational and computational approaches will 147continue to improve projections of climate impacts on forest ecosystems. Yet, the

148necessary *in-situ* data can only be developed and maintained through targeted 149community-wide efforts.

150Acknowledgements

151We acknowledge funding from the EU-H2020 program (grant 640176, "Detecting 152changes in essential ecosystem and biodiversity properties – towards a Biosphere 153Atmosphere Change Index: BACI") and the Swiss National Science Foundation 154(#P300P2_154543). We thank all ITRDB contributors, advisors and curators, and 155Kristina Seftigen, Jesper Björklund, Alicja Babst-Kostecka, Margaret Evans, and 156Olivier Bouriaud for fruitful discussions.

157**Author contributions:** F.B. led the writing, homogenized the tree-ring data, and 158produced the figure, with critical input from D.C.F. Text contributions from B.P., 159P.B., and M.M. enriched the discussion around tree-ring integration with Earth 160observations, mechanistic models, and machine learning techniques.

161References

162[1] St.George, S. and Ault, T.R. (2014) The imprint of climate within Northern 163Hemisphere trees. *Quarternary Science Reviews* 89, 1-4

164[2] Beck, P.S.A. et al. (2011) Changes in forest productivity across Alaska consistent 165with biome shift. *Ecology Letters* 14, 373-379

166[3] Anderegg, W.R.L. et al. (2015) Pervasive drought legacies in forest ecosystems 167and their implications for carbon cycle models. *Science* 349, 528-532

168[4] Frank, D.C. et al. (2015) Water-use efficiency and transpiration across European 169forests during the Anthropocene. *Nature Climate Change* 5, 579-583

170[5] Babst, F. et al. (2013) Site- and species-specific responses of forest growth to 171climate across the European continent. *Global Ecology and Biogeography* 22, 706-172717

173[6] Larson, E.R. et al. (2013) The need and means to update chronologies in a 174dynamic environment. *Tree-Ring Research* 69, 21-27

175[7] Zhu, Z. et al. (2016) Greening of the Earth and its drivers. *Nature Climate* 176*Change*, DOI:10.1038/nclimate3004

177[8] Delpierre, N. et al. (2015) Wood phenology, not carbon input, controls the 178interannual variability of wood growth in a temperate oak forest. *New Phytologist* 179210, 459-470

180[9] Clark, J.S. et al. (2007) Tree growth inference and prediction from diameter 181censuses and ring widths. *Ecological Applications* 17, 1943-1953

182[10] Li, G. et al. (2014) Simulation of tree-ring widths with a model for primary 183production, carbon allocation, and growth. *Biogeosciences* 11, 6711-6724

184[11] Schimel, D. et al. (2015) Observing terrestrial ecosystems and the carbon cycle185from space. *Global Change Biology* 21, 1762-1776

186[12] Brienen, R.J.W. et al. (2016) Tree Rings in the Tropics: Insights into the 187Ecology and Climate Sensitivity of Tropical Trees. *Tropical Tree Physiology* 6, 188439-461

189[13] Brewer, P.W. and Guiterman, C.H. (2016) A new digital field data collection
190system for dendrochronology. *Dendrochronologia*,
191DOI:10.1016/j.dendro.2016.04.005

192[14] Shao, J. et al. (2016) Uncertainty analysis of terrestrial net primary 193productivity and net biome productivity in China during 1901-2005. *Journal of* 194*Geophysical Research: Biogeosciences*, DOI:10.1002/2015JG003062

195[15] Luyssaert, S. et al. (2010) The European carbon balance. Part 3: forests.196*Global Change Biology* 16, 1429-1450



198**Figure 1: Changes in the coverage of tree-ring and satellite records since 1950.** 199The end dates of all available tree-ring records from the international tree-ring data 200bank (ITRDB) and the time span of major polar-orbiting satellite programs 201(incomplete list) are shown in panel (a). The reduced coverage of climate (b) and 202geographic space (c) of ITRDB data in the recent decade are illustrated. Global forest 203cover data was obtained from MODIS and forests were defined where the fraction of 204a grid cell was greater 60%.